

**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

EFS ID: 15793  
Application ID: 10064134  
Title of Invention: ENERGY MANAGEMENT SYSTEM  
FOR A ROTARY MACHINE AND  
METHOD THEREFOR  
First Named Inventor: Michael Bowman  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2002-06-13  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: 123251  
Digital Certificate Holder: cn=Enrique Nmn Abarca, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: XnRU5NyfUrHEBLjJSR9TzA==  
Total Fees Authorized: \$1068.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 70868  
Deposit Account Name: Enrique Abarca



# TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Utility Patent  
Filing

Attorney Docket  
Number:

123251



## ENERGY MANAGEMENT SYSTEM FOR A ROTARY MACHINE AND METHOD THEREFOR

First Named Inventor: Michael John Bowman

### SUBMITTED BY

Name:	Enrique Abarca
Registration Number:	50805
Electronic Signature Mark: EA	Date Signed: 20020613

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

declaration	123251Dec1.tif
declaration	123251Dec2.tif
patent-assignments	abarca123251asgn.xml

fee-transmittal  
bibd-transmittal  
specification

abarca123251fee.xml  
abarca123251apds.xml  
123251.xml

Attached Image File(s):

123251Dec1.tif  
123251Dec2.tif

1005434-051302

Comments:

10064134-061302

# DECLARATION FOR PATENT APPLICATION

Docket Numl

123251

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ENERGY MANAGEMENT SYSTEM FOR A ROTARY MACHINE AND METHOD THEREFOR**

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as an by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulatic §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for pe inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having date before that of the application on which priority is claimed.

## Prior Foreign Application

Priority Claimed

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code §120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing d the prior application and the national or PCT international filing date of this application.

(Application Number)

(Filing Date)

(Status - patented, pending, abandone

(Application Number)

(Filing Date)

(Status - patented, pending, abandone

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent at Trademark Office connected therewith, **CUSTOMER NO. 006147.**

Enrique Abarca, Reg. No. 50,805

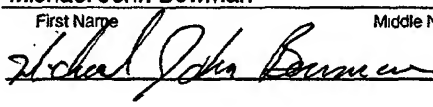
Christian G. Cabou, Reg. No. 35,467, Jill M. Breedlove, Reg. No. 32,684, Donald S. Ingraham, Reg. No. 33,714, Noreen Johnson, Reg. No. 38,929, Patrick K. Patnode, Reg. No. 40,121, Ronald E. Myrick, Reg. No. 26,315, Henry J. Policinski, Reg. I 26,621, James W. Mitchell, Reg. No. 25,602, Bernard Snyder, Reg. No. 24,843, Catherine J. Winter, Reg. No. 38,364, and Johr Beninati, Reg. No. 40,510.

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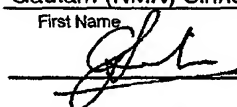
Address all correspondence to: **General Electric Company**  
**CRD Patent Docket Rm 4A59**  
**P.O. Box 8, Bldg. K-1**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information belief are believed to be true and further that these statements were made with the knowledge that willful false statements and like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and such willful false statements may jeopardize the validity of the application or any patent issued thereon.

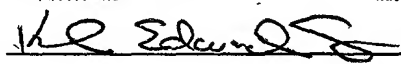
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City and State  
 Post Office Address: \_\_\_\_\_

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 1068**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 07-0868



Deposit Account Name: General Electric GRC

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

## SUBMITTED BY

Authorized Name: Enrique Abarca

Electronic Signature Mark: EA

Date Signed: 20020613

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 22	103	\$ 18	2	\$ 36
Independent Claims: 6	102	\$ 84	3	\$ 252

Subtotal For Extra Claims Fees: \$ 288

ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	581	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40

10064134-00000000